

1 Wednesday, 14 September 2011

2 (9.30 am)

3 DR BRUCE CUTHBERTSON (continued)

4 Questions by MS DUNLOP

5 THE CHAIRMAN: Yes?

6 MS DUNLOP: Good morning, sir. We have Dr Bruce Cuthbertson
7 back with us this morning.

8 Good morning, Dr Cuthbertson.

9 A. Good morning.

10 Q. You haven't been here, in fact, since day one and we are
11 now at about Day 46.

12 THE CHAIRMAN: I hope you don't feel disadvantaged.

13 A. Not in any sense, no.

14 MS DUNLOP: You have missed quite a lot but since you
15 haven't been here since March, I thought it would be
16 useful actually to look again at your CV. That is
17 WIT0030196.

18 I have to say what particularly leapt out at me, if
19 we scroll down the page, was your description of
20 yourself as a "virologist". We did have Dr Foster say
21 to us a couple of times last week, "I'm not
22 a virologist," so that rather leapt out at me and
23 I thought here are some questions for you.

24 I also noticed the work you did for your PhD. Can
25 we go just a little bit up, please. Your thesis was

1 entitled, "A Study of the Immunological Mechanisms
2 Responsible for High-Titred Antibody Production in
3 Healthy Donors." Just a couple of questions about
4 high-titred antibodies, Dr Cuthbertson. Does that
5 really mean a high antibody score, if you like?

6 A. It does, yes.

7 Q. If someone has a high antibody score, that's indicative
8 of a strong immune response. Is that right?

9 A. Yes.

10 Q. But the opposite is not necessarily true?

11 A. No, antibodies are only one part of the mechanism we
12 have for fighting virus infections.

13 Q. So if somebody had a low score, it wouldn't necessarily
14 mean that they had a weak immune system?

15 A. No, not necessarily but some patients have very low
16 levels of ability to produce antibodies, called
17 hypogammaglobulinemia patients, and those patients do
18 indeed have poor resistance to some viral infections.

19 Q. That description of somebody as having a low ability or
20 a poor ability to produce antibodies, is that across the
21 board?

22 A. Yes, in those particular patients it is, yes, which is
23 partly why we produced the product intravenous
24 immunoglobulin, to administer passively acquired
25 antibodies to those patients to help them fight off

1 viral infections.

2 Q. Do you have other patients who perhaps can't produce
3 a specific kind of antibody? They can produce
4 antibodies to other pathogens but not to something in
5 particular?

6 A. Well, ability to respond rapidly to any microbial attack
7 is part of how we are resistant and clearly, if you can
8 think of populations, for example, that hadn't seen
9 measles before, then part of their exquisite sensitivity
10 to measles is an inability to produce rapidly an
11 immune response.

12 Q. Just some of the terminology, Dr Cuthbertson, we might
13 benefit from probing a little bit. I say that in
14 general but also because next month we will be moving to
15 look more directly at Hepatitis C and topics connected
16 to it. There are a number of virological concepts that
17 are obviously mentioned by some of the witnesses for
18 those hearings. I'm going to try and begin that block
19 with a bit of an examination of Hepatitis C virus in
20 general.

21 So knowing a bit about that, I think, in advance is
22 quite helpful. Can I perhaps go to Dr Foster's
23 glossary. There are some terms in it which we should
24 perhaps look at. Dr Foster's main research paper, which
25 I think you have probably seen before, is [\[PEN0131309\]](#).

1 Not so much a research paper actually but a briefing
2 paper, giving a lot of general information, which has
3 been helpful to us.

4 Can we look firstly at the description on 1310 of an
5 antibody? We see that near the top. I take it you
6 agree with that description, do you?

7 A. Yes, it's perfectly correct.

8 Q. One of the things I have noticed is that scientists
9 often define concepts to lay people in terms of what the
10 substance in question does but I think it's also quite
11 helpful to us to know what it is. So we can see there
12 that an antibody is a protein and it's produced as part
13 of the body's immune response to a foreign invader. Is
14 "antibody" synonymous with "immunoglobulin"?

15 A. Antibodies are immunoglobulins, yes.

16 Q. Right. And I think --

17 THE CHAIRMAN: But are all immunoglobulins antibodies?

18 A. Basically, yes.

19 THE CHAIRMAN: So there is a straight synonymous
20 relationship, is there?

21 A. The word "immuno-" is the word that shows that it is in
22 fact part of the immune response, and "globulin" is just
23 a description of the type of protein.

24 THE CHAIRMAN: Yes.

25 MS DUNLOP: In fact the glossary at page 1312, we see

1 defines "immunoglobulins" as:

2 "Plasma proteins involved in fighting infections,
3 (commonly known as antibodies)."

4 In this context, I think it may be helpful to talk
5 also about antigens and is the defining characteristic
6 of an antigen, this as something that causes the
7 formation of an antibody?

8 A. In effect, yes.

9 Q. Do you want to say a little bit more about that?

10 A. An antigen is any substance -- and it needn't
11 necessarily be on a microorganism, it could be on a red
12 blood cell -- which stimulates an immune response and is
13 seen as being, in effect, foreign by the body's immune
14 system, and the immune system is a very complex
15 interaction of cellular mechanisms which generate the
16 response and some of these cells, called B lymphocytes,
17 generate the immunoglobulins which in fact bind to that
18 antigen and help generate an immune response to that
19 particular agent.

20 Q. So this is part of the body's technique for fighting the
21 antigen?

22 A. Yes.

23 Q. It doesn't always work, though. Is that right?

24 A. Well, it doesn't always eradicate the disease because
25 some organisms have found ways of getting round it one

1 way or another.

2 Q. I think the one we would be particularly conscious of
3 would be HIV, where the antibodies do not succeed in
4 beating the virus?

5 A. No, and also -- obviously the virus has ways of evading
6 the immune mechanism, surviving.

7 Q. We also see reference -- and this is in the context of
8 Hepatitis B -- to surface antigen and core antigen.
9 What is the difference between those two types of
10 antigen?

11 A. Okay, well, in effect any virus is a fairly simple set
12 of molecules. It's basically packaging some nucleic
13 acid and there are two forms of viruses, those that are
14 packaged with DNA and those that are packaged around
15 RNA, but they are indeed packaged. And in Hepatitis B
16 it's a DNA virus and there are proteins that coat, if
17 you like, the DNA and they are the other core proteins.
18 And then round that is a series of surface antigens and
19 round that is a lipid envelope. So in effect there are
20 four main parts to a hepatitis virus. Uniquely the
21 Hepatitis B virus produces an excess of the so-called
22 surface antigen and that is liberated in fairly large
23 amounts, which enabled us in the 1970s to develop a test
24 for Hepatitis B for detecting that particular antigen.
25 So the surface antigen is what is on the outside and

1 it's what the bulk of the protein content of the actual
2 virus is, but the core proteins are in the middle and
3 surround the nucleic acid.

4 Q. You said "uniquely", so these ideas of a surface antigen
5 and a core antigen wouldn't be found with Hepatitis C?

6 A. No, it's a slightly different virus. It's an RNA virus.
7 It has a series of proteins but it doesn't have
8 a separate surface and core antigen.

9 Q. To go back to immunoglobulin, we have seen reference to
10 intramuscular immunoglobulin and intravenous
11 immunoglobulin. I think we can work out that that's
12 about different modes of administration, but can you
13 give us any examples of either?

14 A. Well, it's not so much examples of the type of
15 immunoglobulin, it's simply a statement about how they
16 were manufactured. The early immunoglobulin products
17 that were manufactured by fractionation were found not
18 to be tolerated if they were given intravenously and
19 could actually cause fairly severe reactions. So they
20 were given intramuscularly. And they were given
21 intramuscularly to people who were exposed to particular
22 agents, be it smallpox or whatever. PFC used to produce
23 about seven or eight of these things against different
24 agents.

25 But they could only be given intramuscularly, which

1 was (a), painfully because you got a dose of the stuff
2 in the buttocks, and also it restricted the volume that
3 you could actually give. So for the particular patients
4 we were talking about earlier, the hypogammaglobulinemia
5 patients, who needed a larger volume, we needed to find
6 a way of making the product tolerable for intravenous
7 administration.

8 We did that by eliminating some other proteins that
9 were contaminants of the immunoglobulin preparation but
10 which raised a reaction when they were given
11 intravenously. So the words "intravenous
12 immunoglobulin" mean that it has been largely more
13 highly purified and treated in some way to eliminate
14 these other proteins, so allow us to give them
15 intravenously in a fairly large volume on a regular
16 basis, which was what these patients ultimately got.

17 Q. To go back to the general and the specific, in other
18 words, the patients who have a general immunological
19 defect and needed the whole panoply of immunoglobulins
20 versus people getting a specific one, would it be right
21 that tetanus would be an example of an injection of
22 a specific immunoglobulin?

23 A. Indeed. For things like tetanus and Hepatitis B, for
24 the virus varicella-zoster, which causes chicken pox, we
25 deliberately selected donors who had high titres of

1 antibodies against those agents and then we selected
2 plasma from those donors and fractionated it discretely
3 in smaller pools to make these so-called specific
4 immunoglobulins. And they were then issued for those
5 particular indications.

6 The normal intramuscular immunoglobulin or normal
7 intravenous immunoglobulin was collected from the same
8 plasma pools that we used to make Factor VIII or
9 Factor IX and any of the other products. So it
10 therefore had the normal spectrum of antibodies that
11 were in the donor population.

12 Q. You have no doubt at some point seen Dr Foster's
13 flowcharts but I think we understand that the arrival of
14 immunoglobulin is one of the horizontal journeys,
15 slightly lower down the page, after the production of
16 Factor VIII and Factor IX?

17 A. Yes.

18 Q. So that comes from, I suppose, cryo-depleted plasma?

19 A. That's correct. We took out the cryo first and then we
20 would take out the Factor IX by adsorption and then we
21 would go into the cold ethanol fractionation process and
22 that would result in a fraction that contained
23 immunoglobulins that we would process further and then
24 dispense.

25 Q. Right. Thank you, Dr Cuthbertson. I think that is

1 enough for just now and if we spot other terms as we go
2 through that we don't quite understand, we will ask you
3 again and obviously if there are points that anybody
4 else wants to pick up of a more general nature, then
5 that's something that they can do.

6 Can we go to Dr Cuthbertson's statement, please,
7 which is [\[PEN0130025\]](#)?

8 You tell us on the first page that you are a current
9 employee of SNBTS and you have worked with them since
10 1974. In fact, between 1974 and 1980 you were at
11 Belvidere in Glasgow. Is that right?

12 A. That's correct.

13 Q. Can you tell us a little bit about what you were doing
14 there?

15 A. When I was first recruited it was indeed to set up
16 systems to select donors to make these
17 hypoinmunoglobulins. So I was working with a consultant
18 virologist, Dr Bobby Somerville in Belvidere where he
19 had already got various systems for determining human
20 antibodies, and we kind of developed and fine-tuned
21 those so that we could select plasma from particular
22 donors with the high titres that I mentioned earlier,
23 and that basically involved growing up viruses and
24 setting up systems to detect high titres of antibodies,
25 and at the same time, as you noted earlier, I did work

1 on my PhD to see if we could elucidate any ways of
2 identifying the mechanisms that led to such donors
3 producing high titres of the antibody, because some of
4 them produced quite quick antibody responses which fell
5 away quite quickly and others produced higher levels
6 which persisted much longer, and those were obviously
7 the ones that we were trying to capture. So we were
8 looking for systems to try to easily determine who these
9 donors were and what the mechanisms were.

10 Q. I don't want to digress too far but I suppose there must
11 be a huge body of research into what it is that makes
12 some people much better at producing high titres of the
13 antibodies than others?

14 A. Absolutely. There is a whole wealth of literature on
15 this topic.

16 Q. Yes. So you were at Belvidere Hospital in Glasgow and
17 I think at that time there was a connection with
18 Glasgow University too.

19 A. Yes, it was the Glasgow University teaching laboratory
20 for virology and Dr Somerville was a university
21 lecturer.

22 Q. Right.

23 A. It was also the infectious diseases hospital for West of
24 Glasgow and there are now buildings -- It has been built
25 over, it doesn't exist any more.

1 Q. Yes. And the east end, really, out London Road?

2 A. Yes.

3 Q. Yes. You returned to PFC in 1980?

4 A. Yes.

5 Q. I think at that point you were the microbiology manager.
6 Is that right?

7 A. That's correct, yes.

8 Q. In due course you succeeded Dr Perry as quality manager,
9 quality control manager. Is that right?

10 A. That's correct, yes.

11 Q. And that's really when he stepped up to become, first
12 acting director and then director of PFC.

13 A. I was officially appointed in 1985, after he had been
14 officially appointed as director. So I suppose I kind
15 of acted up in the interim.

16 Q. Right. Just moving down the first page, please, we can
17 see the reference to the 1970s and helpfully,
18 Dr Cuthbertson, you have reproduced the questions from
19 our snapshots and landmarks paper and have then given
20 your answers. If we turn over on to the next page, it
21 follows from what you have said that you weren't
22 directly working on any of this research at PFC in the
23 1970s?

24 A. No. I was aware of it but wasn't involved.

25 Q. Right. You did quote at the top of page 2 from

1 a package leaflet insert, and I suppose that sentence
2 about what has been done with the plasma before it has
3 been used to make the Factor VIII concentrate is
4 probably quite hard for a layperson to understand. You
5 were basically telling those who read the leaflet insert
6 that the plasma had been screened for the Hepatitis B
7 surface antigen?

8 A. Yes.

9 Q. And that's that creature you were describing to us
10 a little while ago?

11 A. That's correct.

12 Q. Yes. We can see actually the shorter notation for that
13 at the top, the block capital "HB" and then the lower
14 case, "s" and then the capital "A" and the lower case
15 "g". That's the common notation?

16 A. Yes.

17 Q. And just while we are at it, the common notation for the
18 core antigen would be the same but with a "c"?

19 A. That's correct.

20 Q. Right. In fact, as far as the antibodies are concerned,
21 we also quite frequently see reference to the antibody
22 to the core antigen, and that's shown usually as "anti-"
23 and then "HB" with a lower case "c". So capital "HB"
24 and lower case "c". Is that right?

25 A. That's correct.

1 Q. Is that an antibody to the surface antigen?

2 A. Yes.

3 Q. So it would follow a similar pattern of notation?

4 A. Yes.

5 Q. Just so that if we see these abbreviations, we know what

6 we are looking at. This sentence in italics is telling

7 the reader that a technique, reverse passive

8 haemagglutination, which might mean something to

9 a professional but wouldn't really mean anything to

10 a patient, I suspect, has been used. Reverse passive

11 haemagglutination or radioimmunoassay:

12 "... and the preparation has also been examined by

13 more searching techniques applied in at least two

14 laboratories external to the laboratory of manufacture."

15 What was meant by "the laboratories external to the

16 laboratory of manufacture"?

17 A. Well, each donation was, as it says there, from 1970

18 onwards, was tested for the presence of HBsAg, and

19 reverse passive haemagglutination is a fairly crude test

20 in an agar gel system, where you actually put antibody

21 in one well, the serum in the other and if there is the

22 presence of antigen, then you actually got a line of

23 precipitation in the middle, where the antibody and the

24 antigen formed a complex.

25 It was a very crude test and pretty low sensitivity.

1 Radioimmunoassay was a much more sensitive test, whereby
2 an antibody which had a radioisotope attached to it was
3 used to detect the presence of the antigen. And in
4 those days the antibody was bound to a bead.

5 So the bead would capture the virus and the
6 radioimmunoassay would detect the bound antibody after
7 you had washed off all the excess serum. And that was
8 introduced and similar methods are in use today, except
9 we don't use radioisotopes any longer.

10 That was done within the BTS. The two external
11 laboratories, one was actually still in the BTS but was
12 in a research laboratory run by Dr Robert Hopkins at the
13 Edinburgh blood transfusion service, and the second one
14 was the expert laboratory of Professor Dane at the
15 Middlesex Hospital.

16 So each batch of our product at that time was sent
17 to those two laboratories and they used the most
18 sensitive variety of radioimmunoassay that they had
19 available to see if they could detect the presence of
20 the HBsAg in the final product.

21 Q. Right. Radioimmunoassay, usually abbreviated to RIA, is
22 something I think we will see when we come on to look at
23 our next topic, which is the introduction of the
24 screening of donated blood for HIV. That's in only two
25 weeks' time. Some of the earlier tests for HIV

1 antibodies involved radioimmunoassay, and I think
2 perhaps you have just alluded to a disadvantage, which
3 is that that meant working with radioactive material?
4 A. Radioisotopes, yes.
5 Q. And people in due course became anxious to move away
6 from that. Is that correct?
7 A. That's correct.
8 THE CHAIRMAN: Dr Cuthbertson, it's a long time since we
9 have heard of beads. Could you just remind us. This is
10 a column --
11 A. No, they were small beads. This system was produced by
12 an American company called Abbott. There were beads, a
13 quarter of an inch in diameter, to which antibody to
14 HBsAg was bound.
15 THE CHAIRMAN: How? What does that mean?
16 A. Fixed; fixed to the surface of the --
17 THE CHAIRMAN: You didn't take a paint brush and dab it
18 on --
19 A. No, it was a chemical reaction to cause the antibody to
20 stick. It was done in a large vat. I actually did see
21 this in Chicago. It was done in a large vat where they
22 bound the antibodies on to a bead. You then basically
23 put a bead into a small tray that had wells that the
24 beads fitted into. You then added in your sample,
25 incubated that for a period of time to allow any antigen

1 to stick to the antibody that was on the bead, then
2 washed away the antibody, then you added your
3 radio-labelled antibody. And if any of that stuck, then
4 you knew you had some HBsAg probably, although there was
5 actually a confirmatory test that enabled you to
6 determine if that was actually the case.

7 I don't know if that helps you.

8 THE CHAIRMAN: I think that's slightly different from what
9 we heard before.

10 MS DUNLOP: Sorry?

11 THE CHAIRMAN: I think what I had in mind was an earlier
12 description of an adsorption process in which the beads
13 were put into a column and material was run through
14 that.

15 A. Well, it's the same principle but done in a unique scale
16 for one bead to one sample.

17 THE CHAIRMAN: Right, and that in effect is what was bought
18 in from Abbott ready prepared?

19 A. Yes.

20 THE CHAIRMAN: Right.

21 MS DUNLOP: Yes. We will hear a bit more about Abbott in
22 two weeks' time and about the early tests for HIV.

23 Just to complete looking at that paragraph, however,
24 we see another two sentences in italics, which are
25 a quote from the leaflet and perhaps rather easier to

1 follow for a layperson, that:

2 "None of these tests are of sufficient sensitivity
3 to eliminate the possibility of transmitting hepatitis.
4 Methods for examination of the product continue to be
5 developed but the risk of transmission cannot be
6 disregarded."

7 Again, it's interesting to see that at the moment
8 but we will in due course come on to look in more detail
9 at the sort of information that was provided to people
10 about the risk of hepatitis.

11 I suppose when that was written, people were still
12 thinking of Hepatitis B but by that point there would
13 also be an awareness that there was other hepatitis that
14 was neither A nor B?

15 A. That's correct. Obviously we would have known by the
16 time this leaflet was written that we hadn't eliminated
17 hepatitis by the methods that we were using to screen
18 for Hepatitis B.

19 Q. Yes. I don't want to ask you anything about
20 paragraph 2, Dr Cuthbertson. Can we move then and look
21 at the next page? That's page 3 of [\[PEN0130025\]](#). We
22 don't really need to look at anything before
23 paragraph 5.

24 I need to correct a misapprehension to which the
25 question has given rise, I think, on the part of

1 a number of witnesses that we were trying to make some
2 suggestion that work was going on everywhere in the rest
3 of Europe. It was purely the research by Behring that
4 we had in mind when we wrote this paragraph, and we
5 wondered whether the research which began at PFC in 1981
6 was in response to the news of the Behring work and the
7 answer that we have had from everybody seems to be that
8 it was. So I think we understand that now.

9 A. Yes.

10 Q. You say that the Behring product was never licensed in
11 the UK nor available to treat Scottish haemophiliacs.
12 We have seen a reference to its having been available
13 commercially and I think we will see another such
14 reference this morning, but the commercial availability
15 was pretty limited as far as the Behring product was
16 concerned. Is that your understanding?

17 A. Yes.

18 Q. Yes. Can we then turn to the next page, please? You go
19 on to talk about the Factor VIII study group and can we
20 look, please, at the paragraph at the top, paragraph 6.

21 We did talk about the first meeting and how
22 Dr Prowse in fact is the person who mentions
23 pasteurisation, but our understanding of why that was is
24 that it was simply Dr Prowse giving an overview of the
25 various different viral inactivation techniques, or

1 techniques for dealing with the viral threat, as at that
2 time. He wasn't specifically saying, "I'm researching
3 pasteurisation"?

4 A. That's correct.

5 Q. I wanted then to look at paragraph 7 to the first
6 meeting of the safety subgroup. You were on the safety
7 subgroup. I think it was group D. Is that right?

8 A. That's correct.

9 Q. Yes. Can we look, please, at [\[SNE0058387\]](#)?

10 We can see that the safety group, group D, comprised
11 three people. Dr Pepper was the secretary. So he did
12 the writing. Is that right? He wrote the reports?

13 A. He did, yes.

14 Q. And Dr Somerville, whom you knew from Belvidere, and
15 yourself. Can we just move down the first page, please?

16 We can see that Dr Pepper had a discussion with you
17 on 9 February 1982 and then he had a separate discussion
18 with Dr Somerville the following day. I take it that
19 was just to do with availability?

20 A. I can't really recall but I assume so. I assume he had
21 to do a report fairly quickly and that he therefore had
22 separate meetings because that was the only times we had
23 available.

24 Q. Yes. There is a very succinct summary at the bottom of
25 the first page, which I think, if we think about it, we

1 can follow the points that are being made. The first
2 sentence is saying that you really need a good test for
3 the virus before you can decide how effective your virus
4 inactivation technique has been?

5 A. Hm-mm.

6 Q. I suppose that's common sense really. You need to be
7 able to measure the amount of virus at the end of
8 whatever process you are putting in place to see if it
9 has worked?

10 A. That's the ideal situation although, as it happens, many
11 products were issued on the basis that they were heated,
12 without any really virological evidence that the
13 processes worked.

14 Q. Yes. So in a perfect world you would have an accurate
15 means of measuring the virus --

16 A. Absolutely.

17 Q. -- at the end of whatever process you are putting in
18 place but it doesn't always happen that way. Then the
19 summary goes on to say that the group -- that's the main
20 group -- should work on that and there was a subgroup
21 dealing with that:

22 "Any attempts to heat or irradiate the concentrates
23 of Factor VIII presuppose a more purified, more stable
24 concentrate than those presently available."

25 So two different concepts really being alluded to

1 there, that there has to be further work to purify the
2 concentrate and also it has to be stabilised against the
3 effects of heat or whatever other agent is introduced.
4 Is that right?

5 A. That's based on the fact that -- as I think Dr Foster
6 has told you in some detail -- that Factor VIII is
7 actually a very unstable molecule and that steps have to
8 be taken to stabilise it against any measure of heat.

9 Q. Yes. Then at the bottom we see that the thinking at
10 this point is that heat is better than irradiation which
11 is better than adsorption in terms of likely success.

12 Can we look at the second page, please? There is an
13 introduction, which reveals, I suppose, Dr Pepper's
14 thinking but perhaps the thinking of the other two of
15 you as well. Dr Pepper posing what looked to have been
16 some relevant questions at that time. First of all
17 asking what would be the effect of doing nothing, which
18 he goes on to answer in the paragraph underneath the
19 questions, saying that he doesn't think that doing
20 nothing is an option.

21 Then in his second question, wondering what is the
22 nature and quantity of the risks in Scotland at this
23 time:

24 "Are we worrying about a problem which exists
25 elsewhere?"

1 But going on to say that it wouldn't be safe to
2 operate on that basis. He says that:

3 "Although Hepatitis B is decreasing to levels lower
4 than non-A non-B hepatitis, there are significant
5 amounts of the latter in England, and Scotland may also
6 have a significant problem but more data is urgently
7 required."

8 Then thirdly:

9 "Are current developments in other associated areas
10 moving at such a pace that any realistic timescale for
11 our projected work may well end in shelving the whole
12 project, for example genetic engineering of Factor VIII
13 and/or synthetic antigen vaccines or production of
14 neoclassical vaccines."

15 I'm not sure what a neoclassical vaccine would be.

16 A. Just a new version of a traditional vaccine, ie some
17 kind of heat-inactivated microorganism.

18 Q. Right.

19 A. But obviously genetically engineered vaccines were
20 available shortly after this report.

21 Q. Yes.

22 A. Particularly for Hepatitis B.

23 Q. It's interesting to see the report focusing even then on
24 the need to bear in mind what the context is, so there
25 would be no point in starting on a project which is

1 going to be overtaken by a more attractive scientific
2 development?

3 A. Yes.

4 Q. Yes. Can we then just scroll to the bottom of the page
5 and on to page 3, please.

6 There is a mention of the targets, viral risks,
7 Hepatitis B and a probable two or more hepatitis non-A
8 non-B and various other agents mentioned. Then on to
9 the next page, please. We find some predictions. He
10 says at the end of the first paragraph on page 2:

11 "It seems likely that developments in non-A non-B
12 will follow the same route as Hepatitis B but over
13 a considerably shorter time span, for example five years
14 versus ten years, due to technological gains, notably in
15 genetic manipulation."

16 As a prediction it wasn't bad, was it,
17 Dr Cuthbertson?

18 A. No, I think Hepatitis C was finally isolated in 1989.

19 So if this was written in 1982, then --

20 Q. Or even 1988 perhaps, although it's a little bit
21 difficult to discover quite what the extent of the
22 achievement in 1988 was. And of course the discovery
23 was to some extent connected with genetics?

24 A. Indeed.

25 Q. Yes. Can we just scroll down again, please?

1 It makes another cogent point:

2 "Obviously, to be attractive, inactivation must be
3 cheap, reliable and capable of killing more than one
4 virus. I would also add that in my opinion it should be
5 developed within two years, any longer than this is too
6 unpredictable as regards other developments."

7 Then on to the next page, please. Discussion of the
8 complexities in looking at the viruses and saying:

9 "We do not have any data on the DNA/RNA of non-A
10 non-B viruses."

11 This is in the context of talking about radiation
12 but then going on to say in the next paragraph that:

13 "An alternative to gamma irradiation is heating
14 (pasteurisation)."

15 And a reference to the Behring work. At that point
16 unfortunately, only one paper had been published in
17 German:

18 "Estimates by PFC indicate 8 per cent yield, which
19 is rather low."

20 Going on to say that:

21 "The process clearly only works because large
22 amounts of protein (fibrinogen) are removed prior to the
23 heating step and these preliminary steps may well be
24 responsible, both for the removal of hepatitis and the
25 low yields."

1 THE CHAIRMAN: Before you leave that paragraph, could I ask
2 you just a little about the one paper published in
3 German?

4 I think from the text it's clear that it was known
5 that that paper existed in February. When was it
6 translated? It's by Mr Zolg and I think it must be
7 around about October or something like that, on other
8 information.

9 MS DUNLOP: I think, sir, actually it was 1981 because
10 Dr Foster was absent due to ill-health.

11 THE CHAIRMAN: I'm sorry.

12 MS DUNLOP: During his absence --

13 THE CHAIRMAN: You are absolutely right. So it's not
14 available just in German. Did you only know of it in
15 German at this stage?

16 A. No, no. By this stage I knew about it because of the
17 translation. And because we had started, as you know,
18 in doing work in our own sort of variant of that
19 process, and in fact by the time that this report was
20 written, then obviously we had started working.

21 THE CHAIRMAN: So what is the significance of the reference
22 to it being in German?

23 A. I think it just means that it's not in the routine
24 English canon, that's all.

25 THE CHAIRMAN: I see.

1 A. It was an obscure publication, which wasn't widely
2 available in the English literature.

3 MS DUNLOP: I think from our limited learning in this area,
4 Dr Cuthbertson, we do recognise some of the points being
5 made in this paragraph, that it's desirable to get rid
6 of as much fibrinogen as possible before you move to
7 pasturising, and indeed he says that that's true of both
8 heat inactivation or gamma irradiation.

9 Can we move on to the next page, please? There is
10 a return to the topic of assays. Mention of the beta
11 propiolactone work, which also we have seen mentioned.

12 Can we go a little bit further down, please?:

13 "Assay of infectivity is the major problem to be
14 faced in this work. At present only one assay is
15 established: that in chimpanzees."

16 It's quite interesting just to note what the climate
17 then was as far as chimps were concerned.

18 A. Yes, there was a colony in Liberia, I think, which was
19 available at some expense but in very limited amounts.

20 Q. So in 1982, chimpanzee -- I'm not very sure whether the
21 scoring out is meant to indicate that it wasn't \$8,000,
22 it was £8,000. Anyway, I suppose we could do the maths
23 and work it out but there is a cost figure given for
24 each chimpanzee and how much they cost to look after and
25 to have the tests carried out.

1 A. I think that's supposed to be a dollar sign.

2 Q. Yes. It says:

3 "Each chimpanzee will cost about £10,000 per six
4 month trial and a straightforward experiment ..."

5 Can we go right down, please? Maybe it's on to the
6 next page:

7 "... would cost £60,000 minimum."

8 Then we see that there are owl monkeys in Panama and
9 they may offer an alternative. Geographically very
10 inconvenient. You certainly wouldn't want your assays
11 being carried out in Panama:

12 "The most attractive possibility would be a tissue
13 culture assay for hepatitis virus."

14 Then we see some action proposals. You look to have
15 been given some pretty complicated homework,
16 Dr Cuthbertson.

17 A. Yes.

18 Q. Hm-mm. And Dr Pepper is investigating radiation and
19 doing some work with marker viruses. Then on to the
20 next page. Dr Somerville is looking at literature and
21 speaking to personal contacts. Then finally a section
22 on the resources which will be required, and we see over
23 on to the next page, staff, animals, and so on and then
24 it's even contemplated that somebody might have to go to
25 Panama or Liberia. I take it Dr Somerville didn't ever

1 actually go to Panama or Liberia in search of chimps or
2 owl monkeys?

3 THE CHAIRMAN: Professor James is suggesting it's akin to
4 being sent to Siberia.

5 MS DUNLOP: Right. Can we put that away then, please?

6 THE CHAIRMAN: Before you do, this seems, admittedly to
7 someone who doesn't understand all these things, to be
8 an extremely wide-ranging exercise for PFC to undertake,
9 looking at it in the whole.

10 A. This was an SNBTS subgroup, so it was led by, you know,
11 a senior researcher within our headquarters R&D team,
12 but in fact this was just a sort of mind dump almost,
13 you would call it nowadays, of all the things that we
14 could and couldn't look at, and some of the more
15 impractical things that Dr Pepper suggested in this
16 document were gradually weeded out, I suppose.

17 THE CHAIRMAN: I think that one can see that, going through
18 the later stages as the list begins to attenuate, but
19 just trying to get a feel for what was happening at this
20 stage, I think one has to try to form a view whether
21 this was a practicable research project or series of
22 projects being proposed or was simply an exploration of
23 the possibilities out there that would have to be
24 narrowed down in time.

25 A. I would describe it as the latter, that this was an

1 exploration of possibilities. With a relatively small
2 organisation like SNBTS, clearly we couldn't cover
3 everything that was suggested in this paper and it was
4 really just ideas, I think, from Dr Pepper that then
5 went back to the main Factor VIII working group to see
6 which, if any, of those we could follow up, and
7 obviously we continued to meet to "whittle away", as
8 I think you have put it, some of the less practical
9 stuff and we did, as we will perhaps come onto, some
10 experiments to weed out some of the ideas anyhow.

11 Q. So it's an exercise that becomes more respectable the
12 less significance one attaches to it, or is that unfair?

13 MS DUNLOP: Is it blue sky thinking?

14 A. Blue sky thinking, yes. And in that regard I think it's
15 quite a good paper because it was trying to think out of
16 the box.

17 Q. Yes. There was another meeting fairly swiftly on
18 30 March. Can we have a look at the document that
19 relates to it? That's [\[SNF0013799\]](#). We can see the
20 trio mentioned, Drs Pepper, Somerville and Cuthbertson,
21 but in fact, if we look a little bit further down it was
22 just you and Dr Pepper, I think, because if we go down,
23 there are apologies from Dr Somerville. Maybe it's
24 on the next page.

25 Can we turn on to the next page, please? Yes,

1 apologies for absence were received from
2 Bobby Somerville.

3 Then there is a summary of the state of play:
4 "Three courses of action are being undertaken
5 simultaneously."

6 We can read these for ourselves. And the statement
7 that:

8 "PFC ..."

9 That's Dr MacLeod and Dr Foster:

10 "... should continue their work on the heat
11 processes developed by Behringwerke."

12 And a description of that.

13 THE CHAIRMAN: I was fascinated by the question mark. At
14 that stage was it envisaged that the team would be
15 increased in size or is this just a possibility that
16 someone else might be involved or what?

17 A. I have really no idea. I assume that it was just an
18 acknowledgment that at PFC there was a team other than
19 those two lead individuals that were working on it.
20 I don't think it was a suggestion that from this meeting
21 that that team needed to be aggrandised in any way.

22 MS DUNLOP: Could we go back up the page, please?

23 I didn't really look at the summary but we can see
24 that there is still reference to the possible assays
25 which might be available.

1 Can we go on to page 3 then, please?

2 Some very complicated chemistry, I think. And
3 further down, an interesting point, I think, under the
4 heading "Infectivity Assays" about whether, because
5 heating at 60 degrees for ten hours was now widely held
6 to be effective in destroying infectivity, it might be
7 possible to dispense with an infectivity model
8 completely, and noting that that was what Behring were
9 doing.

10 A. Yes, I think that's correct.

11 Q. Yes. Then Dr Somerville was contacting various people
12 in North America with a view to arranging infectivity
13 trials of Hepatitis B and non-A non-B in owl, no doubt
14 monkeys. Going onto the next page, please. Various
15 thoughts about what might be possible with different
16 animals. It says:

17 "Unfortunately, on paper at least, the owl monkey is
18 unlikely to be susceptible to human hepatitis virus B
19 and non-A non-B. The latter are ..."

20 I think that's ...?

21 A. DNA.

22 Q. DNA, yes, it's a "D":

23 "... DNA viruses, belonging to a separate class
24 ("slow viruses") from the Hepatitis A virus."

25 I think that's not all correct as we now know.

1 A. In the light of current knowledge, that's wildly
2 inaccurate.

3 Q. You had better correct it for us, Dr Cuthbertson.

4 A. Well, Hepatitis C is an RNA virus and doesn't belong to
5 a separate class of slow viruses. It's part of a fairly
6 well understood group of flaviviruses.

7 Q. The slow viruses or lentiviruses would include HIV,
8 though, is that right?

9 A. Yes.

10 Q. Yes. But I suppose it just shows us that virology has
11 progressed a lot --

12 A. A huge amount.

13 Q. -- in the almost 30 years since this was written.

14 A. Yes.

15 Q. Right. Can we go further down, please? Still talking
16 about assays, I think, largely. And then on to the next
17 page, please.

18 There is discussion of the procurement of infective
19 material. I suppose it stands to reason that for
20 research purposes, you really need to have some virus or
21 viruses?

22 A. This was on the presumption that we would actually be
23 handling the actual agents of either Hepatitis B or
24 non-A non-B hepatitis. In the end no meaningful work
25 was ever done with those viruses. Most of the

1 meaningful work on virus inactivation systems was done
2 with model systems.

3 Q. Yes. We see in this section a bit of discussion of the
4 infectivity of NHS or commercial concentrates in first
5 time haemophiliacs and Dr Pepper is recording, I think,
6 a bit of a discrepancy in the information. He has been
7 told by Dr Craske that infectivity in first time
8 haemophiliacs is 100 per cent and by Dr Rizza that it's
9 50 per cent, but he goes on to say:

10 "We must assume that all batches of NHS Factor VIII
11 concentrate and commercial concentrates of 5,000
12 donations or more are positive for non-A and non-B".

13 And I think goes on to theorise that you have
14 probably got non-A non-B hepatitis in the building, as
15 it were. Is that right?

16 A. Yes.

17 Q. But no practicable means of extracting it for research
18 purposes?

19 A. That's right.

20 Q. Yes. Then, if we look on to the last page --

21 THE CHAIRMAN: Dr Cuthbertson, can I ask you a little about
22 the animal tests that are proposed here? I get the
23 point that Dr Pepper's first paper can be looked upon as
24 blue sky thinking, covering the range of possibilities.
25 Was this sort of level of sophistication in animal tests

1 and so on and the use of live viruses ever reasonably
2 within the contemplation of SNBTS at this stage?

3 A. Probably not. In retrospect, at the time I think we
4 were exploring all options, and this was definitely
5 a live option. From the literature we know that several
6 of the US fractionators did use a chimpanzee model to
7 attempt to determine whether inactivation procedures
8 were successful. With the retrospective scope in full
9 swing, we know that the data they got from these studies
10 didn't predict whether or not their products were free
11 from transmission of non-A non-B hepatitis.

12 THE CHAIRMAN: I appreciate that and I think it's a slightly
13 different point. I think what one must do is try to
14 gauge the ambition, as it were, of PFC at this stage, to
15 get a measure of where it saw itself in relation to
16 major pharmaceutical companies, who, one might think,
17 had far greater resources available to do an exercise of
18 this kind.

19 A. That's absolutely correct but clearly at the time of
20 this meeting in May 1982 we were still actively looking
21 to see if we could, if you like, circumvent the expense
22 of the chimpanzee studies by, if you like, inventing
23 another model. Whether that was wishful thinking or
24 not, I think is another question.

25 THE CHAIRMAN: There is nothing wrong with wishful thinking

1 in this sort of area.

2 A. But it was clearly still being actively considered as
3 a possibility.

4 THE CHAIRMAN: Without wishful thinking, one never gets
5 invention, I suppose.

6 A. That's exactly right.

7 THE CHAIRMAN: But you would have seen it at this stage as
8 a possibility that was worth keeping in mind as a real
9 possibility and not just some sort of theoretical dream?

10 A. Yes, I think at the stage that this paper was written
11 that was still seen as a possibility. I think we got
12 into the real world quite quickly not too long after
13 that. When this was written, it was seen as being
14 potentially realistic.

15 MS DUNLOP: Yes. I think the page we are looking at,
16 page 6, is really just making that point about, perhaps
17 slightly frustratingly, an understanding that the viral
18 contamination means that there is virus in the plasma
19 that you are receiving but that, because science hasn't
20 advanced as necessary, it is not possible to recover it.
21 Can we just look to the bottom of the page?

22 Can we go back to Dr Cuthbertson's statement then,
23 please? [\[PEN0130025\]](#) at page 0028. We have looked at
24 what was happening in the first part of 1982 as far as
25 the Factor VIII study group is concerned and your safety

1 subgroup. We then went on to talk about Dr Foster's
2 attendance in Budapest in July 1982, and he got another
3 Behring paper. It's actually quite complicated to chart
4 all the different Behring papers that were circulating
5 at this point, abstracts and publications in journals
6 and so on, and I'm not going to do that again because we
7 have had a look at the chronology of the different
8 publications.

9 But one that I did want to look at is the
10 Golden Notebook, which is [\[SNB0045880\]](#). This is from an
11 internal Behring publication. Our hypothesis is that it
12 was first produced in a relatively rough form and then
13 the company had it produced in a more
14 professional-looking manner, so that they could
15 distribute it beyond the company. I take it you are not
16 in possession of any detailed information about what
17 happened with these pieces of work? No?

18 A. I am afraid not.

19 Q. No. It doesn't matter in the slightest.

20 Can we look at page 3, please? Actually, to get the
21 sense of it, can we just look at the page immediately
22 before? This is an interesting little piece of
23 narrative. Reading from the right-hand side:

24 "As yet there have been no systematic investigations
25 of the prevalence of non-A non-B hepatitis among

1 haemophiliacs but from the increasing number of case
2 reports, it's apparent that hereto there has been
3 a shift in the virus spectrum similar to that which has
4 occurred in post-transfusion hepatitis type B, having
5 been partly replaced by type non-A non-B. The latter
6 form has proved especially dangerous among patients with
7 haemophilia, as it may occur despite the existence of
8 immunity to Hepatitis B and frequently runs a chronic
9 course."

10 I just thought it was interesting, that reference to
11 type B having been partly replaced by non-A, non-B. Is
12 that just an empirical observation, that more people are
13 getting non-A non-B than used to be the case?

14 A. I assume that's exactly what they meant and, obviously,
15 because Hepatitis B-positive donors could be identified
16 through testing, it meant that the level of infectivity
17 with Hepatitis B had clearly dropped and that non-A
18 non-B was now the predominant form of hepatitis seen in
19 haemophiliacs.

20 Q. Yes. I just wondered if there was any implication in
21 that about what happens if the viruses go head to head.
22 It is, obviously, simply speculation on my part, but
23 I was interested in some sort of notion that the non-A
24 non-B virus was becoming dominant in some sense.

25 A. I don't think that's what they are implying. I think

1 they just simply mean that the incidence of type B
2 hepatitis had reduced and therefore the predominant type
3 of hepatitis was identified as non-A non-B. I guess, if
4 you have got a haemophiliac in the past who had been
5 co-infected with B and non-A non-B and the B was
6 diagnosed, you wouldn't have known that the non-A non-B
7 was there.

8 Q. Yes. So there has been a masking in the past?

9 A. Prior to the availability of a test, then the only
10 diagnosis of non-A non-B would be clinical.

11 Q. Yes.

12 THE CHAIRMAN: I don't think I had quite read it the same
13 way as Ms Dunlop. I thought that there were two factors
14 that might have been behind this. One was that before
15 1974, talking about serum hepatitis, there really wasn't
16 a distinction between B and non-A non-B. Then one had
17 an HBsAg test that enabled one to identify Hepatitis B
18 and so it was known that there was something else.

19 A. Yes, I think that's correct.

20 THE CHAIRMAN: And then out of that came screening, so that
21 the screening would take out of circulation quite a lot
22 of blood that had HBsAg in it, and simply this meant
23 that, in terms of numbers of what would be seen, NANB
24 was becoming more prominent, but not because there was
25 more of the infection about, simply because it was

1 distinguished in the first place and becoming more
2 clearly identified, in the second place?

3 A. I believe that's correct.

4 MS DUNLOP: Yes.

5 THE CHAIRMAN: The next sentence, of course, is quite
6 important, isn't it, that "the latter form has proved
7 especially dangerous among patients with haemophilia at
8 this stage," as showing a commercial operator
9 recognising the risks associated with NANB hepatitis.

10 MS DUNLOP: Yes. Can we also look at page 5 of this piece,
11 please? Actually, to get the sense of this, I think we
12 need to look at the page before, please. It says:

13 "Proof that the heating step is essential ... "

14 I think this is slightly cut off down the right-hand
15 side: Presumably:

16 " ... essential [in or to] producing
17 a hepatitis-free preparation was obtained by experiments
18 in chimpanzees ... four chimpanzees, which were given
19 a dose of non-heated Factor VIII concentrate with
20 experimental HBV content had attacks of Hepatitis B."

21 And then on to the next page:

22 "The concentrate which had been heated in solution
23 to 60 degrees for ten hours was no longer infectious:
24 The four chimpanzees which were given the heated
25 material intravenously showed no clinical signs of

1 Hepatitis B."

2 It goes on to say that:

3 "As the chimpanzees also remained free from non-A
4 non-B hepatitis, and as the concentrate used for the
5 experiments had been manufactured from pooled plasma, it
6 seems reasonable to assume that any non-A non-B
7 hepatitis viruses had likewise been eliminated and
8 inactivated."

9 But of course, they couldn't be as sure because they
10 didn't have a test for the non-A non-B virus?

11 A. That's right, yes.

12 Q. Then on to the next page, please. Saying that proof
13 that the product was free from non-A non-B hepatitis
14 must await further clinical observations. Then going on
15 to talk about clinical trials in patients.

16 Can we go back to your statement, please? We were
17 on page 0028.

18 One of the things that struck me when I was looking
19 at this, given the period of the early part of the 1980s
20 in which it's written, was that the commercial
21 manufacturers are certainly not saying non-A non-B
22 hepatitis isn't really very serious, and PFC is not
23 saying non-A non-B hepatitis isn't really very serious.
24 It seems to be being taken for granted that tackling the
25 hepatitis threat, even if it's a non-A non-B threat

1 mostly, is something that should be being undertaken.

2 A. Absolutely. That's why SNBTS set up the Factor VIII

3 working party.

4 Q. Yes. I think it's perhaps interesting to look in other

5 pockets, which we are investigating, and see views about

6 the severity of the disease, but they don't appear to

7 have coloured the research approach at all.

8 A. No, I think we were, obviously in the early 1980s, aware

9 that non-A non-B was an increasing problem.

10 Q. Yes. Right. Can we move on through the statement then,

11 please? There is a reference to the study group meeting

12 in October 1982 and you explain, as I think we have

13 already understood, on page 0029 that the principal

14 reason for prioritising heat treatment was that the

15 other options were being discounted effectively. So it

16 was emerging as the main candidate because of less than

17 positive results with the other options.

18 A. Yes, that's correct.

19 Q. Then you go on to explain about the protocol, the

20 60 degrees for ten hours being proven in the context of

21 albumin. And of course we understand that there is

22 a frustrating quality to the use of particular

23 stabilisers, that some stabilisers may well stabilise

24 the Factor VIII and enable you to heat the product but

25 at the same time they may stabilise the virus too. So

1 you are not really any further forward. You need to
2 find a stabiliser that preferentially stabilises the
3 Factor VIII and not the virus?

4 A. That was always the trick.

5 Q. Yes.

6 A. Or at least you got substantial heat inactivation of the
7 virus but with minimum reduction in potency of the
8 product. That was our target.

9 Q. Yes. On to the next question. The autumn of 1982. And
10 then you answer on page 0030 a question in relation to
11 communication between Scotland and England. You say:

12 "There was always good communication between SNBTS
13 and colleagues at BPL Elstree and PFL Oxford."

14 Did you have a counterpart in England with whom you
15 liaised?

16 A. In the early days with Dr Smith himself because, as
17 I said, here he was my boss initially when I joined
18 SNBTS, but the most likely person I liaised with was
19 Terry Snape, who was the quality manager designate in
20 PFL and then moved to BPL.

21 Q. Right.

22 A. And later with Dr Harrison who developed some of their
23 virus inactivation validation techniques.

24 Q. At what stage would Dr Harrison come on the scene? Is
25 that later in the 1980s?

1 A. 1985/1986, something like that.

2 Q. And you talk about some correspondence in 1982 and the
3 meeting in December 1982, which I don't think we really
4 need to go into again. I think we understand what the
5 different dilemmas were around about that time in
6 relation to the advent of commercial heat-treated
7 product.

8 Can we just look at the next page, please, 0031?

9 I hope we didn't create a fog by asking the question
10 about whether there was a "not" missing from Dr Cash's
11 letter but Dr Cash was initially, I think, willing to
12 believe that there was but we have had Dr Perry's
13 explanation of what he thinks Dr Cash was saying and
14 you, I think, align yourself with Dr Perry?

15 A. Yes, I read Dr Perry's statement in his witness
16 statement and I agree with his interpretation but
17 ultimately it's Dr Cash that wrote the letter.

18 Q. Yes. Of course. It's a form of torture to ask somebody
19 what was in their mind when they wrote a letter in 1982.
20 So I don't know that there is anything else to be gained
21 from pursuing that?

22 THE CHAIRMAN: Have we reached a consensus that there should
23 not be a "not"?

24 MS DUNLOP: The weight of the evidence, sir, is that the
25 "not" is not missing.

1 THE CHAIRMAN: It's also the more Machiavellian
2 interpretation of the letter at the time and that might
3 just fit, Dr Cuthbertson.

4 A. I couldn't possibly comment.

5 THE CHAIRMAN: No, indeed. I think I have more freedom than
6 you have in that respect.

7 MS DUNLOP: We followed this chain of events a little bit
8 further and you have commented insofar as you are able.
9 Then into 1983. You think the synopsis in the
10 preliminary report is substantially correct and that the
11 key issues were as described and you reiterate that you
12 always enjoyed good communication between SNBTS and
13 colleagues at BPL and PFL.

14 Then on to the next page --

15 THE CHAIRMAN: Just before you leave that, I have spent some
16 consideration time over the last week looking at all the
17 evidence we have had so far on this and it does appear
18 that there was very good cooperation at the scientific
19 and technical level. One might think that there was not
20 the same commitment to cooperation at other levels,
21 particularly at management and perhaps even at
22 regulatory levels. Did you ever have any sense of being
23 constrained in your contacts with your colleagues south
24 of the border by policy considerations coming from
25 above?

1 A. No, not really. I think in those days probably at
2 scientific and technical level we had slightly more
3 freedom than we later had to actually indulge personal
4 communications. I mean, it was well enough known that
5 at senior management level there was not a meeting of
6 minds between the directors of the two institutions but
7 I think we all just worked round that rather than
8 through it, if that makes sense.

9 THE CHAIRMAN: But it's not just in the public sector.
10 There is evidence that Dr Prowse had very good contact
11 with Hyland, for example, and was able to get
12 intelligence about their process that simply wasn't in
13 the public domain. So one gets the impression that the
14 scientists are talking to each other.

15 A. That's right. We met at meetings and within the
16 constraints of commercial sort of restrictions, there
17 was quite free exchange of data and information.

18 MS DUNLOP: So whatever tensions there were, were really,
19 just so that we are clear about this, Dr Cuthbertson,
20 between Dr Lane and Mr Watt?

21 A. Absolutely.

22 THE CHAIRMAN: And they didn't inhibit the scientific work
23 that was going on?

24 A. No.

25 THE CHAIRMAN: They were just circumvented.

1 A. They were circumvented, exactly.

2 THE CHAIRMAN: Yes, thank you.

3 MS DUNLOP: Can we move on to the next page then, please,
4 0032?

5 We asked firstly about a meeting of the haemophilia
6 and blood transfusion working group on 22 March 1983 and
7 obviously you weren't there, and then we asked also
8 about Dr Foster's memorandum of 3 May and we have looked
9 at that, I think, exhaustively, possibly exhaustingly as
10 well, and the ensuing correspondence, which is covered
11 in question 18. Dr Cuthbertson, you have reproduced in
12 your response on this page Dr Foster's three-stage plan.

13 A. Hm-mm, yes.

14 Q. Which I think we understand. You go on to say that an
15 attempt had been made to link this -- that is the
16 expenditure on heat treatment -- with an upgrade to the
17 PFC:

18 "... to assist in responding to criticisms of the
19 facility resulting from inspections by the
20 Medicines Inspectorate."

21 I think we understand that that was exactly what was
22 going on. You say:

23 "This is actually a significant issue."

24 I just wondered if you could explain a little bit
25 more your comment that this is a significant issue.

1 A. All I really meant was that -- I suppose the -- I think
2 that linking the two events was perhaps a bit expedient
3 and wasn't actually correct because the
4 Medicines Inspectorate hadn't actually generated any
5 criticism of our manufacturing processes, rather about
6 the building and facilities, and I suppose I just wanted
7 to highlight that the two weren't really linked.

8 Q. Yes. I think --

9 A. But obviously, when it comes to funding, you have to
10 play a game and if the game involves you being slightly
11 economical with the actuality, then so be it.

12 Q. I think we do appreciate, Dr Cuthbertson, that the
13 mention of there being a pot of up to £650,000 would
14 clearly be a factor that would operate in the minds of
15 those presenting the bid for funding?

16 A. Correct.

17 Q. Yes. But you say:

18 "This stratagem clearly was not accepted by SHHD and
19 a separate bid for funding was requested."

20 I think we now understand that sequence of events.

21 Sir, this would be a good moment at which to break.
22 If that is suitable.

23 THE CHAIRMAN: Yes, I think so.

24 I don't think that Machiavelli, in giving his advice
25 to the Prince, would ever have thought that instilling

1 skills in manipulation was something wrong.

2 (10.53 am)

3 (Short break)

4 (11.15 am)

5 MS DUNLOP: Thank you, sir.

6 Dr Cuthbertson, I would like to go back to your
7 statement at page 0033. That's document [\[PEN0130025\]](#) at
8 0033. Another point we were trying to probe in 1983 was
9 whether there was in people's minds a read-across from
10 the work that they had been doing to try to inactivate
11 non-A non-B hepatitis, and I suppose all hepatitis in
12 concentrates, whether there was a read-across from that
13 to AIDS. In that connection, can we have a look at
14 [\[SNF0013730\]](#)?

15 We see that this is a set of minutes of your
16 subcommittee from a meeting that was held on
17 15 June 1983. The three of you are listed.

18 In the first place, if we look at page 2, just to
19 bring ourselves up-to-date with what had been happening,
20 we can see a big section on heat inactivation, a
21 progress report is set out there.

22 I think I need to ask you a little bit about model
23 viruses, Dr Cuthbertson, but not so much that we get
24 confused. I suppose this is Dr Pepper writing but no
25 doubt informed by you.

1 A. Yes.

2 Q. You say that:

3 "As a target virus, vaccinia, as suggested by BOB
4 ..."

5 Is that Behring?

6 A. No, that's the Bureau of Biologics.

7 Q. "... vaccinia is most useful."

8 Can you tell us a little bit about what vaccinia is,
9 please.

10 A. Vaccinia is the vaccine for smallpox that was given to
11 most of us in our youth. And it's a fairly large DNA
12 virus and is quite resistant to heat. As such, it was
13 seen as being a reasonable model for Hepatitis B. So
14 what we were trying to do when we selected model viruses
15 was to take viruses that were perhaps not the actual
16 viruses of concern but might mimic some of their
17 properties when we were studying them.

18 Vaccinia had a significant benefit that we already
19 knew exactly how to culture it because we had been doing
20 so since the 1970s and could get it in particularly high
21 titres. So in the very first pasteurisation study, in
22 the beginning of 1983, we collected that plus herpes
23 simplex, which was another DNA virus, as the two models
24 that we looked at when we were evaluating the efficacy
25 of our heat treatment process.

1 Q. Yes.

2 A. And the sort of selection of model viruses actually
3 became almost an industry and people developed different
4 thoughts until eventually there was some regulatory
5 guidance on this in the 1990s, but it was kind of too
6 late.

7 Q. So in the early years of work with model viruses, there
8 must have been a degree of guesswork as to what would be
9 the most important characteristics to match, was there?

10 A. Absolutely. And to be honest, in the early experiments
11 we used vaccinia for the reasons I said because we could
12 actually grow that to very high titres, and that was
13 quite a useful thing because obviously the more you can
14 put into the Factor VIII solution to simulate the heat
15 treatment process, the more inactivation you can
16 actually detect.

17 Q. Yes. We can see some results here. These are log kills
18 for vaccinia polio 2. What is the significance of the
19 2?

20 A. It's polio virus type 2. There are just various
21 different forms of polio virus.

22 Q. And herpes simplex. I understand the theory, that you
23 are trying to find viruses which are as closely
24 analogous to the agent that you are trying to kill as
25 possible but I suppose in their own right, these

1 experiments are also interesting because they give you
2 comparative success rates for different protocols?

3 A. That's correct. You can use them to try and determine
4 the efficacy of the heat treatment process, and the sort
5 of statement down there about 60 degrees for ten hours
6 followed by a 30-minute period at 70, that was
7 a protocol that we evolved because the initial
8 evaluation was that the sucrose or sorbitol stabiliser,
9 sucrose as used by Behring or sorbitol as used by us to
10 get round the Behring patent, did in fact stabilise
11 viruses to heat, whereas in albumin, if you added
12 vaccinia virus, you could inactivate seven or eight logs
13 within an hour, at 60 degrees for -- and Factor VIII
14 stabilised by sucrose and sorbitol, then even over
15 a 24-hour period you got significantly less inactivation
16 than that. So we evolved this protocol where we added
17 in an extra 30-minute period at 70 to get the same
18 degree of kill as we had seen in the initial studies in
19 albumin.

20 Q. Right. Trial and error?

21 A. Kind of.

22 Q. Yes. Right.

23 THE CHAIRMAN: Was 30 minutes the maximum it could tolerate?

24 A. Without losing significant amounts of Factor VIII, yes.
25 But it proved to be effective and the protocol that we

1 evolved was heating it to 60 degrees first and then
2 raising the temperature to 70 for half an hour.

3 MS DUNLOP: I think even trial and error has been renamed in
4 some quarters because I have heard it described as
5 "guess and check".

6 A. What we effectively did was we heated at 60, we heated
7 at 70, and obviously we found that 70 was much more
8 effective in inactivating viruses but still retaining
9 some Factor VIII activity.

10 Q. Yes.

11 A. So it's a bit more scientific than just guesswork.

12 Q. I'm sorry, I didn't mean to be insulting.

13 Can we scroll down through this, please? Then
14 comfortably, I suppose, no evidence of neoantigens.
15 Perhaps if we can quickly move through the other
16 reference I want to take from this, which is on page 5,
17 but if we just have a look at the other pages on our
18 way.

19 Other non-heat treatment. Then if we scroll down
20 that, please, and then on to the next page, page 5.
21 This is actually a section that we have quoted in the
22 preliminary report because this whole section is
23 entitled "AIDS".

24 It seems clear from this, Dr Cuthbertson, that your
25 subgroup at this point is seeing a read-across from the

1 work that you are carrying out to the new and emerging
2 threat of AIDS. Is that right?

3 A. I think that's right. I mean, in the time this was
4 written, it still hadn't been proven that this was
5 a viral agent but I think, if you were to ask most
6 experts, then there was a strong belief that it was
7 likely that it was so. And so we were just speculating
8 in that meeting as to what we might have to do if it
9 proved to be one of those viruses that was particularly
10 resistant to heat.

11 Q. Yes.

12 A. As it happens, it turned out it was a virus that was not
13 particularly resistant to heat, which was very
14 fortunate.

15 Q. Yes. So insofar as other witnesses have suggested
16 a kind of compartmentalisation where we do not need to
17 think about AIDS because we are working on hepatitis, it
18 wasn't really like that?

19 A. Basically, as we have said already, this was a kind of
20 think tank-type committee and we were obviously having
21 to think of what the worst possible outcome was.

22 Q. Yes.

23 A. And it was conceivable that processes that inactivated
24 non-A non-B hepatitis would be insufficiently robust to
25 inactivate AIDS and that's really what the thrust of

1 this bit of discussion was.

2 Q. Yes. Can we go back to the statement, please? That's
3 [\[PEN0130025\]](#) at 0033.

4 We talked about the renewed contact with
5 Professor Johnson, which I don't need to ask you about.
6 The next page, please, where the departure of Mr Watt is
7 covered. In paragraph 21 I just wanted to ask you one
8 question, Dr Cuthbertson. You say:

9 "I do not believe that his planned resignation
10 slowed down the development programme."

11 I just wondered if you had expressed it like that to
12 draw a distinction from his sudden departure, which was
13 at the end of 1983?

14 A. No, I think neither really had a huge impact. Mr Watt
15 was a figurehead leader, I think other people have
16 probably expressed, and he was brilliant at pushing
17 things forward but his team were empowered to get on and
18 do the work and I think we just continued to do that in
19 sort of tribute to him, if you like.

20 Q. Yes. You then say in your answer to 22 that:

21 "Prior to his departure, it was well known within
22 the PFC management team that the relationship between
23 Mr Watt and Dr Cash was not especially harmonious."

24 I just wondered who was in the PFC management team
25 at that point?

1 A. Well, there was himself, Dr Perry, Dr Foster. There was
2 a chief engineer, a Mr Lines.

3 Q. Was it the same as the heads of department?

4 A. Yes.

5 Q. Right.

6 A. I can't remember who else. But those were the key
7 players.

8 Q. So quite a small group?

9 A. Mr Grant, the head of manufacturing. There was six or
10 seven.

11 Q. I see. Can we then move on to the next page, please?
12 0035.

13 You make some reference here to this amended
14 protocol, if you like. So rather than a straight ten
15 hours at 60 degrees, the idea of nine and a half hours
16 at 60 degrees with 30 minutes at 70 degrees.

17 I just wanted to look at a letter from that time,
18 which is [\[SNB0073841\]](#). This is Dr Foster writing to
19 Dr Smith on 23 August 1983, just bringing him up-to-date
20 with what has been happening at PFC, in particular in
21 relation to Factor VIII.

22 I think this is the same work as you were describing
23 a minute or two ago, is it, Dr Cuthbertson?

24 A. Yes.

25 Q. We see that at the bottom of the letter. Your

1 time-temperature, is that? Time-temperature study?

2 A. Yes.

3 Q. "... of vaccinia Factor VIII is virtually complete. We
4 found that Factor VIII concentrate survives fairly well
5 for up to an hour at 70 degrees."

6 So it was that that made the bolt-on of a short
7 period at a higher temperature attractive, was it?

8 A. That's correct.

9 Q. Yes. And at that point the regime is described as nine
10 and a quarter hours at 60 degrees and three quarters of
11 an hour at 70 degrees. So a bit of tweaking at the
12 margins?

13 A. I think that's correct.

14 Q. Yes. On to the next page, please.

15 You are still looking at other viruses but you had
16 yet to find anything as stable as vaccinia. So vaccinia
17 not easy to work with perhaps but reasonably
18 straightforward?

19 A. It was, yes. Unfortunately we did find one that was
20 more stable and that was mumps. So we did later do more
21 work with a different virus.

22 Q. You did more work with mumps?

23 A. Yes, which was actually interesting because it is very
24 easily inactivated under normal conditions but the
25 stabiliser seemed to stabilise it preferentially. But

1 we still managed to get a substantial degree of
2 inactivation.

3 Q. Is mumps a DNA virus?

4 A. No, it's an RNA virus.

5 Q. It's an RNA virus, right. Okay.

6 Can we go back to the statement, please, at 0035?
7 You make a comment, which we should note, in your answer
8 to question 24. We had put to you a document from the
9 Central Blood Laboratories Authority and you highlight
10 some thinking in it which isn't quite accurate. Can we
11 look at the document? It's [\[DHF0024489\]](#). This is the
12 Central Blood Laboratories Authority and I think this is
13 26 July 1983. The particular passage concerned is at
14 page 3. That's it there in the first paragraph.
15 Heating at 75 degrees for ten hours or heating at
16 60 degrees for 24 hours, and that's dry heat?

17 A. Yes.

18 Q. As I understand it, the point you are making is that the
19 writer or writers of this paper thought that all you had
20 to do was achieve more heat for longer than the existing
21 albumin protocol, if you like, and it's not as simple as
22 that?

23 A. It's not as simple as that. The reason that
24 freeze-dried Factor VIII withstands heat treatment is
25 because it is freeze-dried and there is not the same

1 level of water there and the same is true of viruses.
2 You help protect the viruses from inactivation in the
3 same way as you do the Factor VIII. So it's not simply
4 a question of heat and time, it's a question of the
5 stabilisers, the format that the product is in and
6 a whole range of other complex things that lead to the
7 degree of inactivation that you finally get.

8 Q. And I suppose this is writing of its time?

9 A. Exactly.

10 Q. That was the impression that people had but it was
11 subsequently appreciated that that wasn't quite right?

12 A. That's correct. And it led to a whole industry of virus
13 validation studies.

14 Q. Right. Can we go back to Dr Cuthbertson's statement,
15 please, at 0035?

16 You go on to mention the knowledge, which we
17 understand was quite widespread in the summer of 1983,
18 that the Hyland product, despite being marketed as
19 a heat-treated and virally safer product, had
20 transmitted hepatitis to three chimpanzees.

21 Can we just have a quick look, please, at
22 [\[LIT0010369\]](#)? This is the article from the Lancet
23 in July 1985, at which we have already looked, Mannucci
24 and Colombo and others, and the relevant information is
25 on page 371. So can we go to the third page, please,

1 where this is described? Can we scroll a little bit
2 down, please?

3 The reference concerned is on the right-hand side.
4 We see the writers just after the footnote number 9:

5 "The high prevalence of NANB hepatitis and the
6 absence of HBV transmission in our subjects ..."

7 That's the people:

8 "... are in contrast with the HBV transmission and
9 absence of NANB hepatitis in chimpanzees given the same
10 heated concentrate. These differences indicate that the
11 animal model is not reliable for NANB hepatitis
12 transmission studies."

13 To lay people it is interesting, Dr Cuthbertson,
14 that the results are kind of a mirror image of each
15 other and I do appreciate that the product that was
16 given to the chimpanzees was loaded, as it were, with
17 virus, but even so is it just a physiological
18 difference?

19 A. I assume so. I mean, the chimpanzee model was obviously
20 established principally as a mechanism for measuring
21 infectivity of Hepatitis B and then non-A non-B became
22 a bolt-on. Exactly why it was such an unreliable model
23 for non-A non-B when it proved to be reliable for
24 Hepatitis B, I assume is physiological variation and all
25 sorts of things that I wouldn't even begin to speculate

1 on. But it's definitely the case there are a number of
2 chimpanzee studies which showed a lack of infectivity
3 with non-A non-B with products that subsequently were
4 proven to transmit.

5 Q. Right. Would you not have expected that the people
6 would also have got Hepatitis B or is that just to do
7 with the loading of the doses?

8 A. I think that's to do with the loading of the doses.
9 Obviously, Hepatitis B is actually a fairly hardy virus,
10 difficult to inactivate. I think we were lucky in the
11 fact that we were able to eliminate most Hepatitis B by
12 screening. So any Hepatitis B that was present in the
13 final product would have been at very low levels and
14 perhaps the heat treatment there was enough to
15 inactivate these very low levels.

16 Q. Yes.

17 A. It was also the case that increasingly in the 1980s,
18 haemophiliacs were being immunised with Hepatitis B
19 which obviously --

20 Q. That was exactly the next question I was going to ask
21 you, Dr Cuthbertson, and I was wishing I had asked
22 a haemophilia clinician: was there a practice of
23 vaccinating patients with haemophilia against
24 Hepatitis B? But there was.

25 A. As soon as there were reliable vaccines available, and

1 to be honest I can't remember exactly when that was,
2 haemophiliacs were vaccinated.

3 Q. Because of the appreciation of this very risk?

4 A. Indeed.

5 Q. Yes. Just in passing, Dr Cuthbertson, I have seen
6 a reference to viral interference. At a kind of general
7 level, is it true that if there is more than one virus
8 in a subject they can interfere with each other and
9 perhaps produce unpredictable results?

10 A. I could only say that it has been known to happen but
11 I'm not an expert on that.

12 Q. Right.

13 PROFESSOR JAMES: I think that was a sort of concept that
14 was played with in the earlier days of virology,
15 particularly when HIV came along, that really turned out
16 not to be correct.

17 A. That sounds fair.

18 PROFESSOR JAMES: Yes. I think that's how that word kept
19 coming around but nothing came of it really.

20 MS DUNLOP: Thank you.

21 Can we go back to the statement then, please, at
22 0035? Indeed on to 0036.

23 I think we have already mentioned the safety
24 subcommittee meeting on 15 June 1983 and we have looked
25 at that. Then 25. Dr Smith to Dr Foster

1 in January 1984, reporting on the English work on dry
2 heat treatment. That leads us into what had been
3 happening in Scotland insofar as dry heat treatment is
4 concerned, and you deal with that in your paragraph
5 under question 25.

6 It's perhaps most convenient to look at this through
7 Dr Foster's progress report, dated 25 December 1983.

8 Can we go to that, please? It's [\[PEN0121500\]](#).

9 We can see that this is Dr Foster's progress report
10 on studies to improve yield and quality of Factor VIII
11 concentrate.

12 Perhaps we should just move through it quickly. The
13 early pages are not where we need to go at the moment
14 but just to see what the state of play was. This is
15 various process steps or even in some cases, I think,
16 platform technologies. I hope I'm not using that term
17 wrongly. And then on to the second page. This is all
18 about the addition of calcium. We remember that being
19 described in Dr Foster's briefing paper. Then on,
20 please, through 3 and on to 4.

21 Zinc fractionation and then heat treatment. What's
22 narrated here in section 3.2, that's the wet heat
23 treatment that is being discussed there. We can see
24 mumps coming in. And then on to the next page. At 3.4,
25 it is interesting to note that reference to neoantigens.

1 Last week we looked at some correspondence from early
2 1983, in which Dr Ludlam was raising concern about the
3 formation of neoantigens and we know that Dr Joan Dawes
4 became involved in doing some work to try to allay those
5 concerns, and that seems to be a report of her work
6 there. Is that right?

7 A. That's correct, yes.

8 Q. Yes. Then we see under section 4 discussion of other
9 heating methods, and this is now a reference to dry heat
10 experiments at PFC:

11 "Initial results suggest that the viral kill is less
12 than that achieved by heating in sugar solutions at
13 60 degrees for ten hours."

14 Then if we look on to the next page, please, we can
15 see a table of results but these are the wet heating
16 results?

17 A. Yes, that's right.

18 Q. Which correspond to the earlier section in the progress
19 report, I think.

20 A. Yes, and the thing that's called "improved conditions"
21 is the 60 degrees for nine half hours followed by half
22 an hour at 70.

23 Q. Right. Are these your experiments? Were you involved
24 in these?

25 A. I was in charge of doing all these virus experiments at

1 that time.

2 Q. Right.

3 A. They were actually all done in Glasgow at Belvidere

4 because we didn't have virus facilities in PFC and there

5 was, what we called in those days, a "technician",

6 although I'm not sure what we would call him now, who

7 set them up and jointly we read them.

8 Q. Right. Your dry heat experiments were initially

9 recorded in a handwritten note, which we will just look

10 at to confirm perhaps that we prefer the typewritten

11 version, but the handwritten version is [\[PEN0121669\]](#).

12 If we look at that, this is your writing?

13 A. It is indeed. I'm not proud of it but that's my ...

14 Q. And it's headed up "Dry heating of virus in

15 Factor VIII". To make life a bit easier you have

16 prepared for us a typewritten version of these notes.

17 So can we go to that? That's [\[PEN0121673\]](#). If we go

18 into the document, you have narrated the steps you took.

19 A. Yes. As it says in the text, this was an experiment

20 that was done jointly with Dr Pepper. It was basically

21 done to see whether dry heating would give equivalent

22 inactivation to what we were seeing in the liquid

23 process. So if you like, it was a kind of a control.

24 To freeze-dry the product to do the study, we had to use

25 a research drier that Dr Pepper had in his laboratory.

1 So the first seven comments are really just the way that
2 the experiment was carried out and the results section
3 are a very crude summary of the results that we got.

4 Q. We can see number 1, which is striking as an immediate
5 practical problem?

6 A. Yes.

7 Q. That once the material had been heated at 70 degrees, it
8 was insoluble?

9 A. Indeed.

10 Q. Yes.

11 A. And obviously we chose 60 and 70 degrees because they
12 were the temperatures that we were using for the
13 pasteurised product.

14 Q. Yes.

15 A. So we were trying to get a comparator and ...

16 Q. And again you are using vaccinia and mumps to see what
17 the viral kill is. Is that right? Then if we look down
18 through, we can see you tabulate the results. If we go
19 down to the bottom and on to the next page, please.

20 We have results for mumps and results for vaccinia,
21 and I think we will just take your word for it that if
22 we studied these for a while we could ourselves, I hope,
23 understand that the viral kill was less?

24 A. Basically, it was a serial titration, where you did
25 a number of dilutions at ten-fold dilution series and

1 then inoculated them into tissue culture and the
2 vaccinia virus kindly produces plaques for every virus
3 that was in there. So we are looking at a 10 to the
4 minus 4 dilution that had an average of 57.5 plaque
5 former units in the inoculum of 0.1 of a ml. And after
6 60 degrees for three days, that had reduced to about 39,
7 which was effectively a 3 log reduction, whereas in the
8 product that we were looking at, the liquid product, we
9 were looking at an 8 log reduction.

10 Just to put that into perspective, an 8 log
11 reduction means 100 million viruses per inoculum being
12 inactivated, whereas this shows about 10,000 viruses per
13 0.1 ml being inactivated.

14 So the difference in them, because it is
15 a logarithmic scale, is enormous. So it was a much less
16 effective virus inactivation process than the liquid
17 process that we were studying and for that reason we
18 kept going with the liquid process at that time.

19 Q. Yes. Can we go back to the statement, please, at
20 page 0036? Just to note in passing that around this
21 time there was also the clinical trial of batch NY761,
22 and we know that one of Dr Ludlam's patients had
23 an adverse reaction. We have asked a number of
24 questions about that.

25 Just by way of follow-up to that little episode, you

1 have directed our attention to a further letter, which
2 we will just look at. It's [\[SNB0074147\]](#). This is
3 Dr Foster writing to Dr Ludlam on 10 February 1984 on
4 this topic, and I think really just wondering where to
5 go next as far as the clinical trials are concerned.

6 A. Yes, that seems to be correct.

7 Q. Saying, "We have got a better batch now available". And
8 I suppose asking some questions of himself really, as to
9 why the results differed between Glasgow and Edinburgh.
10 Not terribly keen to keep using this particular patient
11 as a guinea pig. And can we just look at the end of the
12 letter, please, on the next page. Can we go back to the
13 statement, please, at 0037?

14 We are now reverting to the answer to our question
15 about the possibility of changing tack, around about the
16 turn of the years 1983 to 1984. And you have already
17 mentioned this, Dr Cuthbertson, that there really were
18 very much more positive results available in Scotland
19 for the wet heating method than anything that you could
20 discover about dry heating.

21 A. That's correct.

22 Q. Certainly as far as viral inactivation was concerned,
23 and Dr Foster also made the point to us that the data
24 from PFL didn't actually include those sort of tests.
25 The use of model viruses to work out what the kill would

1 be?

2 A. No, they didn't have access to that technology at that
3 time.

4 Q. Sorry, they didn't?

5 A. They didn't.

6 Q. They didn't, yes.

7 A. Which is why later on we did some experiments for BPL.

8 Q. Yes. In relation to their severe dry-heated product,
9 yes?

10 A. That's correct.

11 Q. Yes, we will come on to that. We should just look at
12 [\[SNB0074059\]](#). Just to get it in. This is the
13 Factor VIII study group meeting on 12 January 1984 and
14 this topic is discussed. If we look at page 4, please.
15 You were there but only for the morning. If we go to
16 page 4, is it you? Did you present these results to the
17 group at this meeting?

18 A. I assume so, yes.

19 Q. Right. So pages 4 to 5, I think, set out the position.

20 A. I think that's just a summary of the results we had had
21 at that time.

22 Q. Yes. And on to page 5, please. Right down, please.

23 So around this time the answer to the question about
24 changing tack was that there wasn't anything that made
25 you want to change tack, and you have explained why that

1 was?

2 A. That's correct. Of the two processes, it was clear that
3 one gave a much better degree of virus inactivation and
4 that was the horse that we were backing at that time.

5 Q. Going back to the statement at 0037, we asked some more
6 questions about costing and timescales and I think we
7 could perhaps just take your answers on these matters as
8 read. Perhaps we should note, though on the next page,
9 this is very minor Dr Cuthbertson, but I think the
10 timeline was probably being set slightly
11 before April 1984 because the meeting of the Blood
12 Transfusion Service subcommittee was in February 1984.

13 A. Okay.

14 Q. You have made the point earlier in your answer that:
15 "Nowadays it's believed that the development of
16 a new process, from development through clinical
17 trialing to final licensing and routine issue, will take
18 of the order of five years."

19 So quite a significant increase in time taken since
20 those days?

21 A. Yes, principally the regulatory process is very lengthy,
22 and quite rightly so. New products need extensive
23 clinical trialing and development to demonstrate that
24 they are safe to be administered to patients.

25 Q. Yes.

1 A. So, yes, to get a licence now from inception to
2 completion, in some cases five years is even less time
3 than it might take. So we were actually working at
4 pretty fast tracking in those days.

5 Q. Yes. I think the next few answers we can take as read
6 also. The question about Dr Craske's source of
7 information and then another reference to funding. Just
8 in that reference, however, in that response, I wasn't
9 sure I understood the point you are making in the second
10 sentence. You said:

11 "Lack of funding could have delayed scale-up once
12 the revised product had been subjected to satisfactory
13 clinical evaluation."

14 Firstly, are you talking about something which
15 didn't actually happen?

16 A. All I am saying -- I was saying that -- to actually do
17 the experiments that we did, didn't actually require any
18 substantial funding at all. If this process proved to
19 be clinically effective, then, without adequate funding
20 to deal with the amount of sorbitol that we were
21 planning to use in the process, would have required some
22 funding. So scale-up would have required funding.

23 Q. Yes.

24 A. But the actual development of process did not. That's
25 the point I'm trying to make.

1 Q. Right.

2 A. But I'm sure if that had been the case, the funding
3 would have been forthcoming.

4 Q. Can we move on then and look at 31?

5 We understand that the months at the end of 1984 are
6 central in this story and you refer in your answer, 31,
7 to Dr Foster's report. It's interesting, I think, to
8 look at Dr Foster's report from Groningen just to note
9 something that we haven't specifically studied before,
10 which is the then current rates of infection. You
11 mention this in your answer.

12 Can we look then, please, at [\[SNB0086528\]](#)? This is
13 page 2. We can see these little tables. We see in the
14 first table the results of anti-LAV tests on various
15 recipients of blood products, which were given at the
16 meeting. And particularly strikingly, Factor VIII
17 recipients in the United States of America and Austria.
18 234 people tested, of whom 74 per cent were LAV
19 positive.

20 As we, I think, understand, and indeed Dr Foster had
21 predicted in his memorandum in May 1983, the strongest
22 correlation between the degree of haemophilia and the
23 likelihood of being positive is with those whose
24 haemophilia is severe.

25 A. Yes.

1 Q. We also note, interestingly in the light of what's said
2 about Factor IX, quite a high percentage of infectees
3 from Factor IX treatment as well, 39 per cent. Then
4 with Factor IX, again the same correlation, that the
5 patients whose haemophilia is severe had the highest
6 percentage of infection.

7 So that's just a snapshot at that point in the
8 autumn of 1984.

9 A. Yes.

10 Q. Can we go back to the statement, please? At 0039. We
11 are now talking about the group of patients who have
12 been described as the "Edinburgh cohort". Question 32.

13 You mention the preparation of a paper and that was
14 looked at by the Inquiry in June. On to the next page,
15 please.

16 I think we all understand that PFC did move very
17 quickly to introduce dry heat treatment and the
18 circumstances of that have already been rehearsed. But
19 you point out to us in your answer 33 that really it's
20 quite a complex picture. You say that the data in this
21 report -- that's the studies that were done with the
22 assistance of Cutter and they were mentioned in the
23 MMWR -- we have looked at that -- as a sort of
24 preliminary communication, and then there is a full
25 publication in 1985. You say that those data were never

1 replicated.

2 A. No. Well, the Cutter study, which was then fully
3 published by MacDougal et al in 1985, talks about almost
4 total inactivation of four logs of HIV in two hours, and
5 talks about basically, therefore, they extrapolated that
6 degree of heating to show, or to infer I suppose, that
7 20 hours at 60 or 68 degrees would give something like
8 40 logs of inactivation of HIV.

9 That study was replicated in a number of
10 laboratories and the degree of inactivation, after
11 24 hours at 60 degrees, varied from one and a half logs
12 to about four. So it would appear that, because
13 probably it was done in a laboratory with a freeze dryer
14 that may not have exactly replicated the conditions used
15 in manufacturing, that they contrived to produce
16 a product that was particularly susceptible to
17 inactivation of HIV.

18 So in some regards we were very lucky that that was
19 the finding because that encouraged us, as an
20 organisation, to introduce heat treatment very quickly.

21 Q. Yes. You instance as factors which can affect the
22 degree of viral kill: residual moisture content of the
23 freeze-dried Factor VIII and product formulation.

24 A. The only significance of this, I suppose, is that one of
25 the products, which was manufactured by Armour, which

1 was heated at 60 degrees for 30 hours, subsequently did
2 actually transmit HIV and was withdrawn. So, I suppose
3 they were unlucky in that they had a particularly dry
4 formulation and presumably were able to protect, in
5 effect, the HIV to some extent.

6 Q. Yes.

7 A. So we were lucky, I guess, that we had quite an
8 aggressive Factor VIII freeze-drying cycle, and it would
9 seem that we did actually get reasonable inactivation of
10 HIV in our process.

11 Q. Do you think part of the explanation for the Armour
12 problem was that they had a particularly dry
13 formulation?

14 A. Yes.

15 Q. Right. So, what, having a tiny bit of residual moisture
16 content might actually help?

17 A. There is no doubt that that's the case.

18 Q. Yes. Moving on to 34, Dr Cuthbertson, we are interested
19 in trying to tell the story of this period as accurately
20 as we can and I know that you have seen other documents
21 and you have probably had conversations with other
22 witnesses about what people's recollections are of that
23 little period of time at the end of October and
24 beginning of November 1984.

25 A. Not recently, but we did when we put together that

1 particular document.

2 Q. Right. I'm interested in asking you, not a great deal
3 about it but I do want to ask you about your own
4 personal recollection and if you could try, if you
5 would, please, to put out of your mind what others may
6 have said or any prompts you have had, and tell us: do
7 you have a personal memory of discovering that there had
8 been infection of patients at Edinburgh Royal Infirmary?

9 A. I can remember two events very clearly. The first is
10 that Dr McClelland phoned me and told me that there had
11 been infection found, or evidence of infection anyhow,
12 in three Edinburgh haemophiliacs. It was definitely
13 Dr McClelland, and I remember that quite clearly.

14 I also remember clearly a meeting with him and
15 Dr Perry approximately a week to ten days later, where
16 we went through the analysis that he had done with
17 Dr Ludlam, which showed, of the 16 that had been
18 identified by that time, which batches they had
19 received. I can actually remember that without recourse
20 to any written documentation.

21 What I can't recall from memory is whether
22 Dr McClelland phoned me before or after Dr Perry and
23 Dr McClelland went to Groningen, which is, I think, the
24 question you are trying to get me --

25 Q. Yes, you are ahead of me.

1 A. I cannot honestly remember that detail.

2 Q. Yes. Dr McClelland didn't go to Groningen. He was off
3 sick.

4 A. Yes, but I mean, in the paper that we submitted it says
5 that Dr McClelland contacted me on 1 November with the
6 batch that was given to those three patients. It also
7 says in that note that we then recalled the batch. What
8 actually happened from the note that I have got is that
9 on that date I contacted Dr Urbaniak in Aberdeen to ask
10 him to put that batch in quarantine. So piecing it all
11 together, there was definitely contact with
12 Dr McClelland on 1 November. Apparently he was off sick
13 but I guess he probably still phoned even though he was
14 sick. I presume he wasn't incapacitated. And that we
15 definitely did a formal recall of the batch on
16 7 November. So I'm assuming that the meeting that we
17 had had with Dr McClelland to analyse the breakdown of
18 the 16 recipients must have been on the 5th or the 6th.

19 Q. Right.

20 A. And there was at least one previous telephone
21 conversation, and whether that was the first or second,
22 I can't honestly remember.

23 So to piece it all together, to make it all fit,
24 then Dr McClelland must have contacted me when Dr Foster
25 was still around with the general information, phoned me

1 again on 1 November with the details of that particular
2 batch and then we had, as I said, a meeting the
3 following week, where we reviewed -- I couldn't call it
4 a spreadsheet, it was a handwritten note that he had
5 prepared, which showed which batches each of the 16
6 patients had received. And that, as far as I can
7 recall, is my understanding of the detail of these
8 events.

9 Q. What about Dr Foster? Do you remember a time when he
10 was not with you but in a room close enough to hear your
11 conversation?

12 A. Dr Foster has told me this many times over the years but
13 I genuinely can't recall such an event, but I have no
14 reason to believe it's not true.

15 Q. Right. Indeed.

16 A. It's certainly true that he was in an adjacent office
17 and I'm sure when the information came from
18 Dr McClelland, that my voice would have risen by several
19 octaves.

20 THE CHAIRMAN: And decibels.

21 MS DUNLOP: Can we move on, please, through the statement.

22 I'm happy to take your answer 35 as read. For the
23 most part you are covering ground we have already
24 covered with other witnesses.

25 Then question 36. You give quite a lengthy answer

1 and we have also already looked at the detective work
2 that was done when you started to find donors who were
3 positive for the virus after October 1985, and there was
4 a look-back to see what had happen to their previous
5 donations, and we understand that it was demonstrated
6 that none of those donations could be linked to patients
7 becoming infected.

8 A. That's correct.

9 Q. Yes. There was an article also published in
10 Vox Sanguinis and we looked at that yesterday. You say
11 on the following page, 0042, that:

12 "It is clearly true to say that earlier introduction
13 of dry heat treatment could have prevented the
14 transmission of HIV to those patients. The infection of
15 any number of Scottish patients was clearly an
16 individual tragedy for those concerned and I'm very
17 sorry indeed that this occurred. However, it is worth
18 putting this into context."

19 You say:

20 "18 out of 32 recipients of the implicated batch
21 developed evidence of HIV infection but if SNBTS
22 Factor VIII products had not been available, then it is
23 certain that non-heat-treated commercial Factor VIII
24 products would have been used and the final proportion
25 of infected patients in this cohort would have been

1 significantly higher."

2 You go on to list a number of different reasons why
3 the dry heat treatment process wasn't introduced sooner.
4 Dr Cuthbertson, again, I think we can take this as read.
5 We recognise the thrust of most of your comments. For
6 example, that the virus hadn't been linked to AIDS at
7 the start of 1984, that there was, of course, the
8 unhelpful information from the Hyland product in people,
9 and the chimpanzees receiving Hepatitis B and the
10 patients going on to develop non-A non-B hepatitis with
11 that product, and then there was the neoantigen concern.
12 Then you also mention regulatory constraints.

13 It does seem fair to say, however, that that wasn't
14 a problem at the end of 1984 and you actually drew our
15 attention to a letter we should look at in connection
16 with this. [\[PEN0130125\]](#). Can we just look at the
17 heading, please? "DHSS Medicines Division".
18 Dr M E Duncan is writing to Dr Cash following
19 a telephone conversation, 26 November 1984. He or she
20 says:

21 "May I confirm that the licensing authority wishes
22 to encourage all companies involved in the production of
23 Factor VIII to use a dry heat process in the course of
24 manufacture. We are inviting each company to consider
25 this proposal and hopefully to make early abridged

1 application for a new product licence."

2 We know that that did happen with the commercial
3 products early in 1985.

4 A. Yes.

5 Q. Then back to the statement, please, 004. You conclude
6 that by pointing out:

7 "It is only with the benefit of hindsight that it
8 can be concluded that earlier introduction of heat
9 treatment was a sound option. More rapid introduction
10 of dry heat treatment was not justified on the basis of
11 knowledge at the time and we could easily have
12 introduced a less safe product with reduced yield which
13 still had the capacity to transmit HIV. History could
14 then have judged us harshly for being excessively rash
15 in introducing a product too quickly."

16 In conclusion, Dr Cuthbertson, may we just check
17 your supplementary responses. [\[PEN0121692\]](#).

18 We can see the questions that were put to you in
19 further correspondence. We have covered number 1, at
20 least for the moment. You have sent a copy of the
21 leaflet that we talked about at the outset. There is
22 then a somewhat embarrassing question for which I accept
23 responsibility, to do with spelling, which we don't need
24 to dwell on at all; it's all my fault.

25 Then we asked about the experiments in November 1983

1 and that was the question which prompted you to submit
2 your handwritten notes and very fairly type them up for
3 us as well.

4 So there isn't anything in those supplementary
5 responses that I need to cover in any further detail.

6 Thank you very much, Dr Cuthbertson.

7 A. Thank you.

8 Questions by MR DI ROLLO

9 MR DI ROLLO: Sir, there is just one point I wanted to ask
10 about Factor IX and the timetabling for heat treatment
11 in relation to that.

12 Obviously, as we know, successful heat treatment for
13 Factor IX came in later and what I wanted to ask you is
14 whether any indication was given from PFC as to when
15 that was going to come in. If such an indication was
16 given and if so, what was the timetable that was given,
17 do you recall that?

18 A. Well, I can't recall exactly in what format that
19 information was given. I'm sure that there was ongoing
20 discussion with the haemophilia directors through the
21 regular meetings that were held. I think they
22 understood fully that we were developing such
23 a heat-treated product and that, as you will know from
24 other witnesses, that involved fairly extensive animal
25 studies before we were convinced that it was safe to

1 infuse. We pushed that forward as fast as possible but
2 I cannot recall how exactly we informed the treating
3 clinicians of the timelines for its development, other
4 than to reiterate what other witnesses have said, that
5 we did for a period of time stop issuing PFC Factor IX,
6 pending its development.

7 So I'm sure we communicated that very thoroughly.

8 Q. Would they have understood that this was something that
9 was on its way reasonably soon --

10 A. I think so.

11 Q. Within months rather than years?

12 A. It was definitely a fast track process and we were, as
13 we said, just tidying away one element, which was the
14 potential thrombogenicity of the product.

15 Q. So they would have understood that it would be something
16 reasonably soon?

17 A. Yes.

18 Q. All right, thank you.

19 MR ANDERSON: I have no questions, thank you, sir.

20 THE CHAIRMAN: Mr Johnston?

21 MR JOHNSTON: I have no questions.

22 Further Questions by MS DUNLOP

23 MS DUNLOP: Sir, I do want to ask one further question,
24 which I should have asked earlier.

25 Dr Cuthbertson, just on the matter of recall of

1 product, we do have some information from Dr Perry but
2 I should ask you too because you were acting up, as you
3 told us earlier, during 1984, as quality manager. Is
4 that right?

5 A. Yes.

6 Q. When the Factor VIII heated product was to be given to
7 patients in exchange for their unheated product, as
8 I understand it, the fact that this was the plan was
9 intimated by PFC down the line.

10 A. Yes.

11 Q. So what contribution to that did PFC make?

12 A. Because the distribution chain from PFC was through our
13 regional transfusion centres, in other words, we
14 supplied direct to the five Scottish regional
15 transfusion centres in Edinburgh and Glasgow, Aberdeen,
16 Dundee and Inverness, we did not know exactly to whom
17 the individual vials of product were issued. So we
18 transacted all of our recalls through the regional
19 transfusion centres. So basically, we asked them to
20 recall product, be it whatever it happened to be. They
21 then had the details of who they had onward issued those
22 products to and then they asked for the recall in
23 a chain letter-type basis, I suppose you would say.

24 So in the case of general recall, there was a letter
25 went from Dr Perry in this case to the regional

1 transfusion directors and they then transacted the
2 recall from individual haemophilia treatment centres,
3 who then onward requested the return from their
4 patients.

5 Q. Right.

6 A. So that was the way it basically worked.

7 Q. Yes. And with the urgent recall, that is the implicated
8 batch, as it's known, you explained to us a moment or
9 two ago that you actually made the connection with
10 Dr Urbaniak by phone. He was the director in Aberdeen
11 at the time. So that's an example of that sort of
12 contact. You contacted him and he would presumably
13 contact the clinicians?

14 A. In Aberdeen the situation was marginally different in
15 that they actually did issue it direct to the patients,
16 or direct to the wards for treatment of the patients.
17 So they had very tight control of issues and could
18 recall directly from the ward.

19 Q. So depending on local circumstances, what happened next
20 would involve the patient at the end of the chain being
21 told to hand back those boxes of Factor VIII that you
22 have in your fridge?

23 A. For those that were on home therapy, that's right.

24 Q. For the hospitals it would presumably be more
25 straightforward to get it back from the pharmacy or the

1 ward storage or wherever the hospital happened to keep
2 it?

3 A. Although it sounds cumbersome it was actually remarkably
4 effective and people realised the urgency and dealt with
5 these things very expeditiously.

6 Q. In the Aberdeen connection, we do have a very detailed
7 account -- and I can't remember if it was you personally
8 who prepared it; I think it might have been -- of the
9 product coming back from Aberdeen, and I think pretty
10 much everything is accounted for, save for a difference
11 between two patients. It's not quite clear who had what
12 number of vials between two patients but the overall
13 total is all accounted for.

14 A. For one of those patients we know that the product was
15 recalled before they were next transfused. So I mean,
16 it was effective in that regard, although the patient
17 unfortunately had already received four vials.

18 Q. Thank you, sir. It was just that additional matter.

19 THE CHAIRMAN: Yes, thank you very much.

20 MS DUNLOP: Sir, I am afraid again I don't have a witness
21 for the afternoon. So it's another shorter day and we
22 have Professor van Aken coming tomorrow.

23 (12.23 pm)

24 (The Inquiry adjourned until 9.30 am the following day)

25

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25

I N D E X

DR BRUCE CUTHBERTSON (continued)1
 Questions by MS DUNLOP1
 Questions by MR DI ROLLO82
 Further Questions by MS DUNLOP83

